

Securities Listed on New York Stock Exchange

Hazlett, Burt & Watson, Inc.

Top Venues Percentage of Non-Directed Orders

Data as of 9/30/2018

	<u>Venue</u>	<u>Total</u>	<u>Total Market Orders</u>	<u>Total Limit Orders</u>	<u>Total Other Orders</u>
1.	Citadel Securities LLC	38.00%	38.85%	0.00%	54.55%
2.	VIRTU Americas LLC	28.50%	28.89%	16.67%	27.27%
3.	National Financial Services, LLC	12.55%	13.01%	5.56%	0.00%
4.	G1 Execution Services, LLC	9.18%	9.46%	5.56%	0.00%
5.	Two Sigma Securities, LLC	4.51%	4.73%	0.00%	0.00%
6.	Cboe BYX U.S. Equities Exchange	4.19%	4.38%	0.00%	0.00%
7.	Cboe EDGX U.S. Equities Exchange	1.13%	0.00%	38.89%	0.00%
8.	UBS Securities, LLC	1.13%	0.68%	5.55%	18.18%
9.	NASDAQ Execution Services, LLC	0.81%	0.00%	27.77%	0.00%
	Summary Statistics	100.00%	100.00%	100.00%	100.00%

Hazlett, Burt & Watson, Inc. directs its New York Stock Exchange ("NYSE") equity order flow to its clearing broker, National Financial Services Corporation for routing and execution.

Securities Listed on the NASDAQ Stock Market

Hazlett, Burt & Watson, Inc.

Top Venues Percentage of Non-Directed Orders

Data as of 9/30/2018

	<u>Venue</u>	<u>Total</u>	<u>Total Market</u> <u>Orders</u>	<u>Total Limit</u> <u>Orders</u>	<u>Total Other</u> <u>Orders</u>
1.	Citadel Securities LLC	37.93%	38.49%	27.03%	100.00%
2.	VIRTU Americas LLC	33.54%	35.61%	21.62%	0.00%
3.	G1 Execution Services, LLC	10.97%	11.15%	10.81%	0.00%
4.	National Financial Services LLC	7.84%	8.63%	2.70%	0.00%
5.	Two Sigma Securities, LLC	3.45%	3.60%	2.70%	0.00%
6.	NASDAQ Execution Services, LLC	2.19%	0.00%	18.92%	0.00%
7.	Cboe EDGX U.S. Equities Exchange	1.89%	0.00%	16.22%	0.00%
8.	CBOE BYX U.S. Equities Exchange	1.88%	2.16%	0.00%	0.00%
9.	UBS Securities, LLC	0.31%	0.36%	0.00%	0.00%
	Summary Statistics	100.00%	100.00%	100.00%	100.00%

Hazlett, Burt & Watson, Inc. directs its over-the-counter ("OTC") equity order flow to its clearing broker, National Financial Services Corporation for routing and execution.

Securities Listed on NYSE MKT or Regional Exchanges

Hazlett, Burt & Watson, Inc.

Top Venues Percentage of Non-Directed Orders

Data as of 9/30/2018

	<u>Venue</u>	<u>Total</u>	<u>Total Market Orders</u>	<u>Total Limit Orders</u>	<u>Total Other Orders</u>
1.	Citadel Securities LLC	40.96%	41.04%	38.89%	0.00%
2.	VIRTU Americas LLC	38.56%	39.16%	22.22%	0.00%
3.	G1 Execution Services, LLC	10.04%	10.21%	5.56%	0.00%
4.	Two Sigma Securities, LLC	7.03%	6.88%	11.11%	0.00%
5.	Cboe BYX U.S. Equities Exchange	1.61%	1.67%	0.00%	0.00%
6.	Cboe EDGX U.S. Equities Exchange	0.60%	0.00%	16.66%	0.00%
7.	National Financial Services LLC	0.60%	0.42%	5.56%	0.00%
8.	Hazlett, Burt & Watson, Inc.	0.40%	0.41%	0.00%	0.00%
9.	UBS Securities, LLC	0.20%	0.21%	0.00%	0.00%
	Summary Statistics	100.00%	100.00%	100.00%	0.00%

Hazlett, Burt & Watson, Inc. directs its NYSE MKT, or Regional Exchanges, equity order flow to its clearing broker, National Financial Services Corporation for routing and execution.

Exchange - Listed Options

Hazlett, Burt & Watson, Inc.

Top Venues Percentage of Non-Directed Orders

Data as of 9/30/2018

	<u>Venue</u>	<u>Total</u>	<u>Total Market Orders</u>	<u>Total Limit Orders</u>	<u>Total Other Orders</u>
1.	Cboe Options Exchange	30.00%	33.33%	16.67%	0.00%
2.	NASDAQ PHLX Options	30.00%	37.50%	0.00%	0.00%
3.	NYSE American Options	10.00%	12.50%	0.00%	0.00%
4.	NYSE Arca Options	6.67%	0.00%	33.33%	0.00%
5.	BOX Option Exchange	6.67%	8.34%	0.00%	0.00%
6.	The MIAZ Options Exchange	6.67%	8.33%	0.00%	0.00%
7.	International Securities Exchange	3.33%	0.00%	16.67%	0.00%
8.	ISE Gemini Options Exchange	3.33%	0.00%	16.67%	0.00%
9.	NASDAQ Options Market	3.33%	0.00%	16.66%	0.00%
	Summary Statistics	100.00%	100.00%	100.00%	0.00%

Hazlett, Burt & Watson, Inc. directs its options order flow to its clearing broker,
National Financial Services Corporation for routing and execution.