

## Securities Listed on New York Stock Exchange

### Hazlett, Burt & Watson, Inc.

#### Top Venues Percentage of Non-Directed Orders

Data as of 6/30/2019

|    | <u>Venue</u>                     | <u>Total</u> | <u>Total Market<br/>Orders</u> | <u>Total Limit<br/>Orders</u> | <u>Total Other<br/>Orders</u> |
|----|----------------------------------|--------------|--------------------------------|-------------------------------|-------------------------------|
| 1. | Citadel Securities LLC           | 53.99%       | 54.82%                         | 23.81%                        | 0.00%                         |
| 2. | VIRTU Americas LLC               | 16.20%       | 16.51%                         | 0.00%                         | 100.00%                       |
| 3. | G1 Execution Services, LLC       | 12.09%       | 12.17%                         | 9.52%                         | 0.00%                         |
| 4. | National Financial Services, LLC | 10.09%       | 10.23%                         | 4.76%                         | 0.00%                         |
| 5. | Two Sigma Securities, LLC        | 3.87%        | 3.86%                          | 4.76%                         | 0.00%                         |
| 6. | UBS Securities, LLC              | 2.00%        | 1.93%                          | 4.76%                         | 0.00%                         |
| 7. | NASDAQ Execution Services, LLC   | 0.94%        | 0.00%                          | 38.10%                        | 0.00%                         |
| 8. | Hazlett, Burt & Watson, Inc.     | 0.47%        | 0.48%                          | 0.00%                         | 0.00%                         |
| 9. | New York Stock Exchange          | 0.35%        | 0.00%                          | 14.29%                        | 0.00%                         |
|    | Summary Statistics               | 100.00%      | 100.00%                        | 100.00%                       | 100.00%                       |

Hazlett, Burt & Watson, Inc. directs its New York Stock Exchange ("NYSE") equity order flow to its clearing broker, National Financial Services Corporation for routing and execution.

## Securities Listed on the NASDAQ Stock Market

### Hazlett, Burt & Watson, Inc.

#### Top Venues Percentage of Non-Directed Orders

Data as of 6/30/2019

| <u>Venue</u>                        | <u>Total</u> | <u>Total Market<br/>Orders</u> | <u>Total Limit<br/>Orders</u> | <u>Total Other<br/>Orders</u> |
|-------------------------------------|--------------|--------------------------------|-------------------------------|-------------------------------|
| 1. Citadel Securities LLC           | 53.08%       | 53.55%                         | 33.33%                        | 100.00%                       |
| 2. VIRTU Americas LLC               | 16.82%       | 17.36%                         | 0.00%                         | 0.00%                         |
| 3. G1 Execution Services, LLC       | 12.09%       | 11.98%                         | 16.67%                        | 0.00%                         |
| 4. National Financial Services LLC  | 9.95%        | 10.27%                         | 0.00%                         | 0.00%                         |
| 5. Two Sigma Securities, LLC        | 4.98%        | 5.13%                          | 0.00%                         | 0.00%                         |
| 6. UBS Securities, LLC              | 1.66%        | 1.71%                          | 0.00%                         | 0.00%                         |
| 7. NASDAQ Execution Services, LLC   | 1.18%        | 0.00%                          | 41.67%                        | 0.00%                         |
| 8. Cboe EDGX U.S. Equities Exchange | 0.24%        | 0.00%                          | 8.33%                         | 0.00%                         |
| Summary Statistics                  | 100.00%      | 100.00%                        | 100.00%                       | 100.00%                       |

Hazlett, Burt & Watson, Inc. directs its over-the-counter ("OTC") equity order flow to its clearing broker, National Financial Services Corporation for routing and execution.

## Securities Listed on NYSE MKT or Regional Exchanges

### Hazlett, Burt & Watson, Inc.

#### Top Venues Percentage of Non-Directed Orders

Data as of 6/30/2019

| <u>Venue</u>                       | <u>Total</u> | <u>Total Market<br/>Orders</u> | <u>Total Limit<br/>Orders</u> | <u>Total Other<br/>Orders</u> |
|------------------------------------|--------------|--------------------------------|-------------------------------|-------------------------------|
| 1. Citadel Securities LLC          | 62.20%       | 62.54%                         | 46.15%                        | 0.00%                         |
| 2. VIRTU Americas LLC              | 16.43%       | 16.78%                         | 0.00%                         | 0.00%                         |
| 3. G1 Execution Services, LLC      | 13.55%       | 13.68%                         | 7.69%                         | 0.00%                         |
| 4. Two Sigma Securities, LLC       | 4.15%        | 4.07%                          | 7.69%                         | 0.00%                         |
| 5. UBS Securities, LLC             | 2.23%        | 2.28%                          | 0.00%                         | 0.00%                         |
| 6. NYSE Arca                       | 0.48%        | 0.00%                          | 23.09%                        | 0.00%                         |
| 7. National Financial Services LLC | 0.48%        | 0.49%                          | 0.00%                         | 0.00%                         |
| 8. Cboe BZX U.S. Equities Exchange | 0.32%        | 0.00%                          | 15.38%                        | 0.00%                         |
| 9. Hazlett, Burt & Watson, Inc.    | 0.16%        | 0.16%                          | 0.00%                         | 0.00%                         |
| Summary Statistics                 | 100.00%      | 100.00%                        | 100.00%                       | 0.00%                         |

Hazlett, Burt & Watson, Inc. directs its NYSE MKT, or Regional Exchanges, equity order flow to its clearing broker, National Financial Services Corporation for routing and execution.

## Exchange - Listed Options

### Hazlett, Burt & Watson, Inc.

#### Top Venues Percentage of Non-Directed Orders

Data as of 6/30/2019

|    | <u>Venue</u>                    | <u>Total</u> | <u>Total Market<br/>Orders</u> | <u>Total Limit<br/>Orders</u> | <u>Total Other<br/>Orders</u> |
|----|---------------------------------|--------------|--------------------------------|-------------------------------|-------------------------------|
| 1. | Cboe Options Exchange           | 46.15%       | 54.55%                         | 0.00%                         | 0.00%                         |
| 2. | NYSE Arca Options               | 15.39%       | 0.00%                          | 100.00%                       | 0.00%                         |
| 3. | NASDAQ PHLX Options             | 15.39%       | 18.18%                         | 0.00%                         | 0.00%                         |
| 4. | Cboe BZX Options Exchange, Inc. | 7.69%        | 9.09%                          | 0.00%                         | 0.00%                         |
| 5. | BOX Option Exchange             | 7.69%        | 9.09%                          | 0.00%                         | 0.00%                         |
| 6. | NASDAQ Options Market           | 7.69%        | 9.09%                          | 0.00%                         | 0.00%                         |
|    | Summary Statistics              | 100.00%      | 100.00%                        | 100.00%                       | 0.00%                         |
|    | NASDAQ PHLX Options             |              |                                |                               |                               |

Hazlett, Burt & Watson, Inc. directs its options order flow to its clearing broker,  
National Financial Services Corporation for routing and execution.